

**Annual Report**  
**Comprehensive Settlement Agreement**  
**FINAL**  
**2018 – 2019 Academic Year**  
(Reporting Period July 2018 through June 2019)

The Comprehensive Settlement Agreement is available online at: <http://lrdp.ucsc.edu/settlement-agreement.pdf>

The Annual Reports are available online at: <http://lrdp.ucsc.edu>

UC Santa Cruz has primary reporting responsibility for all sections except those marked with an asterisk (\*), for which the City has primary responsibility. If reviewing the report online, the full language of the relevant section from the Comprehensive Settlement Agreement may be viewed by clicking on the agreement section number in the upper left corner.

**Shaded cells represent obligation complete, report is not updated.**

**Settlement Agreement Section      Data      Notes**

**Enrollment** (see [1.5](#) for margin of error allowance)

<b>1.1</b> FTE F/W/S on-campus average enrollment	See Attachment A	See Attachment
<b>1.2c</b> Target new freshman enrollment growth up to Fall 2009 based on Fall 2007 (3,730)	Fall 09: 3,715 headcount	Targeted at 2007 levels – 15 students less than Fall 2007 new freshman

**Housing**

<b>1.2a</b> Initiate planning for west campus housing	Feasibility study completed in 2014-15	Student Housing West Feasibility Study completed and presented to City staff and CLUE representatives on 5/21/15 - <a href="http://mediafiles.ucsc.edu/ppc/studies/11125Housing.pdf">http://mediafiles.ucsc.edu/ppc/studies/11125Housing.pdf</a> ; Preliminary planning workshop held 3/16/09.
<b>2.1a</b> Beds for enrollment up to 15,000		7,125 beds required
<b>2.1b</b> Beds for enrollment over 15,000	See Attachment A	See Attachment  (*bed requirement now shifting to be based on enrollment two years earlier)
<b>2.1c</b> Suspension of 2.1b	N/A	
<b>2.2</b> Student housing 5-year capital plan	See link for details of the plan	Capital Program (Capital Financial Plan 2017-27) <a href="http://www.ucop.edu/capital-planning/resources/2015-25-capital-financial-plan.html">http://www.ucop.edu/capital-planning/resources/2015-25-capital-financial-plan.html</a>
<b>2.2</b> Student housing construction	No new construction	
<b>2.2</b> Student housing occupancy rates	See Attachment A	See Attachment A
<b>2.3</b> Housing meeting	August 8, 2018	During the 2018-19 implementation year the workforce housing partnership met once to discuss housing projects and production pipeline.
<b>2.4a</b> Number of new off-campus beds	No new beds added	
<b>2.4b</b> Off Campus Housing Impact Fund Fee	N/A	
<b>2.7c</b> City rental property ordinance(s) *	October 2010	Ordinance effective
<b>2.9</b> City code enforcement positions *	2012	Two positions hired in 2011. Pilot program will be re-evaluated in 2013. UCSC paid \$61,813.65 in 2011 and \$48,096 in 2012
<b>2.10</b> City Housing Element Update *	November 2009	November 2009

**Settlement Agreement Section**  
**Water**

**Data**

**Notes**

<a href="#">3.1</a> UCSC annual water usage and payment for use above baseline	Calendar Year 2018: <b>167.1 MGY</b>	Calendar Year 2018: Main campus used a total of 167.1 million gallons. 206 MGY is the baseline measurement for the main campus; UCSC commitment to contribute funding has not been triggered.  <i>MGY=million gallons per year</i>
<a href="#">3.2a</a> No increase in water demand under area-wide moratorium	N/A	Not applicable
<a href="#">3.2b</a> UCSC water usage during water shortage	N/A	Stage 1 Water Shortage Alert was in effect between May 1 and October 31, 2018; University fully complied with Stage 1 water shortage regulations and restrictions. August 20, 2018 meeting to review rates and restrictions.
<a href="#">3.3</a> Status of UCSC conservation measures	19 of 19 projects completed	High priority measures (19 projects); see: <a href="http://www.ucsc.edu/conserving_water/">http://www.ucsc.edu/conserving_water/</a>  All 19 projects complete
<a href="#">3.4</a> Additional Infrastructure Improvements	N/A	
<a href="#">3.5</a> Water meeting	Three Meetings: May 2, 2018, August 2, 2018 and December 20, 2018 (Annual Water Meeting).	May 2: meeting to communicate and collaborate on Stage 1 water shortage alert. August 2 meeting to review rates and water restrictions. December 20 was the Annual Water Meeting and discussion included the City's plans for additional water supply projects.
<a href="#">3.6</a> Review of sewer service charge *	Data provided to UCSC by City - Meeting held November 15, 2019.	UCSC meets annually with City staff to validate current rates. City and University staff met to discuss the sewer rate increase plan that was approved in May 2019, and implemented in July 2019.  Attached is the PDF of the rate increases. <a href="http://www.cityofsantacruz.com/home/showdocument?id=75375">http://www.cityofsantacruz.com/home/showdocument?id=75375</a>

**Traffic**

<a href="#">4.1</a> Main campus average daily trips (ADT)	ADT for the 2018/19: 23,271 (last year was 22,273, up 4.5%.)	Fall 2018: 23,305 ADT; Spring 2019: 23,236 ADT;  28,700 ADT is the not-to-exceed threshold subject to conditions of the Settlement
<a href="#">4.1g</a> SCMTD transit service	N/A	SCMTD mode split in Spring 2019 was 26.8%. UCSC continues to pay the cost of SCMTD ridership
<a href="#">4.2</a> Main campus fair share payment	\$1,427,000 payment	9/24/08 payment for 3,900 new ADT above 24,800 ADT
<a href="#">4.2</a> City/UCSC to meet to identify TIF projects. City to initiate in 1 year *  TIF= City of Santa Cruz Traffic Impact Fee	City and UCSC staff have met to discuss TIF projects	Bay St. / High St. intersection improvement identified and design coordination in progress
<a href="#">4.4</a> 2300 Delaware fair share payments	N/A	9/24/08: \$418,868.70 payment for 1,145 trips, Sept 2011 \$14,755.40 trips for Building C Labs; \$19,750.50 payment in August 2017 for Building C Labs.
<a href="#">4.5</a> Marine Science Campus fair share payments	\$400,400	Coastal Biology Building occupancy anticipated in Fall 2017, estimated 104 PM peak hour trips. 4/14/16 payment of \$400,400.
<a href="#">4.6a</a> BRT Study	Final draft report May 2009	No formal BRT study underway or under consideration at this time along transit corridors serving the UCSC campus.

BRT= Bus Rapid Transit		
<b>4.6b</b> City and UCSC to work on mitigating existing and future peak hour traffic demand from UCSC	In progress	On-going TDM, see 4.2 & 4.6d  TDM=Travel Demand Management; programs include: commuter vanpools, carpools, car-sharing, bike-sharing, transit programs, bike shuttle, etc.
<b>4.6c</b> Expand/enhance public transit service	In progress	Annual 2018-19 UCSC SCMTD ridership increased by 3.44% compared with 2017-18. Factors affecting this increase likely includes internal Campus Transit service hours reductions prior to both the Fall and Spring Quarter resulting in higher intra-campus METRO ridership. .
<b>4.6d</b> Implement/expand TDM programs	Spring 2019: 60.9% alternative mode	Objective to increase above 55% alternative mode Mode Splits. 2018 alternative mode splits include: 26.8% SCMTD 21.9% Multi-Occupant Cars 9.8% Other TDM Services (vanpool, Campus Transit) 1.7% Bicycles 0.6% Pedestrians  Spring 2008: 58%; Spring 2009: 60.4%; Spring 2010: 61.8%; Spring 2011: 63.2%; Spring 2012: 61.3%; Spring 2013: 62.3%; Spring 2014: 62.2%; Spring 2015: 61.0%; Spring 2016: 62.7%; Spring 2017: 61.3%; Spring 2018 61.7%
<b>4.7</b> Update City traffic model; UCSC traffic counts *		October 2010 turning movement counts provided to the City. City/ UC coordinating on new counts and traffic numbers have been incorporated into the traffic model supporting the city General Plan and Draft EIR.
<b>4.8</b> Off-campus Parking Permit Program *	N/A	City has implemented long term parking by permit in the Depot Park lot 24. The city identified 70 permitted parking spaces.
<b>4.9</b> Mission Street Widening Contribution	\$107,500 payment	9/24/08
<b>4.10</b> Heller/Empire Grade Traffic Signal	project complete Fall 2010	construction began spring 2010, construction complete September 2010
<b>4.11</b> Bay Street Repair Contribution	\$514,146 payment	9/24/08
<b>4.12</b> Shaffer Rd/Delaware Improvements	N/A	No development project has been initiated.
<b>4.13</b> Study and implementation of non-TIF projects *	Occasional meetings in 2017-18	ONGOING- The City and UC continue to coordinate on non-TIF projects. The City accommodated a total of 10 Zipcars in the city with a 24/7 average utilization rate of 30.7%; UCSC provided 23 Zipcars on-campus, with an average utilization of 36.3%. City and UCSC staff met in February 2018 to discuss potential projects eligible for expenditure of these funds. Specifically, the City is still pursuing plans for a roundabout at Bay and High. They applied for a grant for that project but was not awarded. The City will apply again. We will continue to coordinate on this project.
<b>4.14</b> City/UCSC/CLUE plan and implement public transportation system *	Final meeting held in July 2017	In July 2017 the Work Group agreed to allocate all remaining 4.14 funds—\$35,694 from the City and \$43,561 from UCSC—toward an “Articulated Bus Pilot Project” on SCMTD routes serving UCSC during the 2017-18 academic year. Three articulated buses operated successfully during the Winter and Spring 2018 academic quarters. In July 2017 the Work Group reviewed and confirmed via email a Final Report detailing its work since inception, as well as this final allocation of funds. Attendees: M. Dettle-SC City; L. Pageler, T. Buika – UCSC; R. Searle- CLUE; B. Emerson SCMTD

**Settlement Agreement Section****Data****Notes****Other**

<b>1.3</b> Enforcement condition (housing/water)	N/A	Threshold not reached
<b>1.4</b> Enforcement condition (traffic)	N/A	Threshold not reached
<b>2.5</b> Notification of intent to purchase property in City	N/A	Threshold not reached
<b>2.8</b> Extraterritorial Service & Sphere of Influence Applications *	On hold	Applications submitted 10/28/08, Applications on hold due to court action. See: <a href="http://santacruzlafco.org/">http://santacruzlafco.org/</a>
<b>8.2</b> Request contractors to allocate local sales and use tax *	In progress	City's forms are being included in UCSC construction bidding process.
<b>8.3</b> UCSC not to renew lease on the UCSC Inn	August 2011	Lease has not been renewed.
<b>8.4</b> Transient Occupancy Tax and Admissions Tax discussion *	Complete - May 31, 2018	On May 31, 2018, the city and university staff meet to discuss TOT and Admissions Tax applicability. After reviewing both policies it was mutually determined that (1) the provisions of the TOT did not apply to the university but that the university would add language to the Community Rentals website informing landlords of their responsibility to abide by city municipal codes and taxes and (2) per the City's admission tax section 3.36.040, the university's events would be considered exempt.
<b>8.5</b> City/UCSC meet regularly to explore opportunities for cooperation		Project Coordination: UCSC School of Engineering with City Climate Action Coordinator for Green Wharf project.  Move Out Program (June) - Partnership with UCSC and city Public Works. Bulky item move out program to reduce items going to the landfill and sidewalks.  CUIP Good Neighbor Interns (GNI) coordinated housing fair and outreach to students about being good neighbors in the community.  Several meetings have been held with city staff and large employers to discuss shared workforce housing opportunities  UCSC's Student Conduct Officer, Community Relations staff and the City's Police Dept. have instituted a cooperative program to jointly go to cited student "party houses".  In the reporting year, UCSC and City staff met several times to discuss better education on campus around the City's recycling program. City and University are seeing success in this effort with less recyclable materials going to the landfill.

N/A = Not Applicable  
Data reporting period varies

If you have questions regarding this report or the Comprehensive Settlement Agreement, please contact:

UC Santa Cruz Physical Planning, Development & Operations  
831-459-2170 or [ppc@ucsc.edu](mailto:ppc@ucsc.edu)

Or with questions regarding Settlement Sections marked with an asterisk (\*), please contact:

City of Santa Cruz Director of Planning and Community Development  
831-420-5100 or [cityplan@cityofsantacruz.com](mailto:cityplan@cityofsantacruz.com)

Attachment A  
2018-2019 Comprehensive Settlement Agreement Annual Report

Academic Year	Enrollment 3 Quarter On Campus FTE <sup>1</sup>	Bed Space Compliance Year <sup>2</sup>	Beds Required For Compliance Year <sup>3</sup>	Beds Provided For Compliance Year	Beds In Excess of Requirement	% of Bed Spaces Filled	Bed Space Capacity (Per Original Design)	# of Beds Provided (Above Original Design)	% of Beds Occupied (Above Original Design)
2008-2009	15,780	2013-2014	7,645	8,414	769	93.10%	6,847	1,567	114%
2009-2010	16,014	2014-2015	7,801	8,615	814	97.80%	6,663	1,952	126%
2010-2011	16,131	2015-2016	7,879	9,032	1,153	97.60%	7,082	1,950	124%
2011-2012	16,382	2016-2017	8,051	9,387	1,336	95.50%	7,082	2,305	127%
2012-2013	16,443	2017-2018	8,092	9,363	1,271	96.90%	7,082	2,281	128%
2013-2014	16,247	2018-2019	7,960	9,283	1,323	95.80%	7,087	2,196	125%
2014-2015	16,970	2019-2020	8,445	TBD					
2015-2016	17,012	2020-2021	8,473	TBD					
2016-2017	17,795	2021-2022	9,482	TBD					
2017-2018	18,450	2021-2022	9,482	TBD					
2018-2019	18,518	2021-2022	9,482						
2019-2020	TBD	2022-2023							
2020-2021	TBD	2023-2024							

<sup>1</sup> On August 9, 2019 the campus made minor revisions to previously published enrollment figures. The above enrollment figures reflect these enrollment updates.

Formerly published figures: 2016-2017 (17,763), 2017-2018 (18,421)

<sup>2</sup> Per Section 2.1(b) of the Comprehensive Settlement Agreement, beds will be available within four years of 2017-2018 enrollment; and beds will be available within two years of 2018-2019 enrollment. The beds required in 2021-22 are assumed to be driven by the highest enrollment among the following three academic years (2016-2017, 2017-2018, and 2018-2019).

<sup>3</sup> Per Section 2.1(a) of the Comprehensive Settlement Agreement, UCSC will provide 7,125 beds for enrollment up to 15,000 students (on-campus, 3 quarter average).

<sup>3</sup> Per Section 2.1(b) of the Comprehensive Settlement Agreement, UCSC will provide .67 beds for each student enrolled beyond 15,000 students (on-campus, 3 quarter average) and up to 19,500 students (on-campus, 3 quarter average).

Academic Year	Enrollment <i>3 Quarter On Campus FTE</i>
2009-09	15,780
2009-10	16,014
2010-11	16,131
2011-12	16,382
2012-13	16,443
2013-14	16,247
2014-15	16,970
2015-16	17,012
2016-17	17,795
2017-18	18,450
2018-19	18,518
2019-20	TBD
2020-21	TBD
2021-22	TBD